I hereby certify that this paper or fee is being deposited with the United States Postal Service "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to: U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202.

17513 U.S. PT 10/796782

Shawe Smith

**PATENT** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Atty. Dkt. No.: 4100.001099

Prior Serial No.: 09/389,435

**BOX PATENT APPLICATION** 

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Prior Application Examiner: Maier, L.

Prior Group Art Unit: 1623

# REQUEST FOR FILING CONTINUATION APPLICATION UNDER 37 C.F.R. § 1.53(b)

This is a request for filing a continuation application under Rule 53(b) (37 C.F.R. § 1.53(b)) of co-pending prior application Serial No. 09/389,435 (the '435 application), filed September 03, 1999, entitled "Methods and Compositions for the Prevention or Treatment of Cancer"

Enclosed is a true copy of the prior application Serial No. 09/389,435 as originally filed, including the specification, claims, drawings and declarations (original and subsequent declaration of actual inventors). The declarations were properly submitted after filing. The undersigned hereby verifies that the attached papers are a true copy of the prior application as originally filed and identified above, that no amendments (if any) referred to in the declaration filed to complete the prior application introduced new matter therein, and further that this statement was

made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statement may jeopardize the validity of the application or any patent issuing thereon.

- (a) The inventorship is the same as prior Application Serial No. 09/389,435 (as amended). Enclosed are copies of the amendment and petition to correct inventorship, statement from inventors, consent of Assignee and declaration of actual inventors.
- (b) Deletion of inventor(s). Signed statement attached deleting an inventor named in the prior application, see 37 C.F.R. § 1.63(d)(2) and 1.33(b).
- 2. Enclosed is a check in the amount of \$\_\_\_.00 to cover the filing fee as calculated below and the fee for any new claims added in the Preliminary Amendment referred to in Clause No. 9 below.

# CLAIMS AS FILED IN THE PRIOR APPLICATION LESS CLAIMS CANCELED BELOW

FOR	NUMBER FILED	NUMBER EXTRA	RATE	FE	E
Basic Fee				\$ 3	85.00
Total Claims Independent Claims	1 - 20 = 1 - 3 =	0	\$ 9.00 = \$43.00 =	\$ \$	-0- -0-
	ent Claim(s)			\$	-0-
	7	TOTAL FILING FI	EES:	\$ 3	85.00

$\boxtimes$	3.	This application is filed without the filing fees. Pursuant to 37 C.F.R. § 1.53(b)
		and (f), the Applicants request that the Patent and Trademark Office accept this
		application and accord a serial number and filing date as of the date this
		application is deposited with the U.S. Postal Service for Express Mail. Further,
		Applicants request that the NOTICE OF MISSING PARTS-FILING DATE
		GRANTED pursuant to 37 C.F.R. § 1.53(f) be sent to the undersigned Applicants'
		representative.
$\boxtimes$	4.	Applicant is entitled to Small Entity Status for this application.
		(a) A small entity statement is enclosed.
$\boxtimes$		(b) A small entity statement was filed in the prior nonprovisional application
		and such status is still proper and desired (copy attached).
		(c) Small entity status is no longer claimed.
	5.	If the check is missing or insufficient, the Assistant Commissioner is hereby
		authorized to charge any fees under 37 C.F.R. §§ 1.16 to 1.21 which may be
		required for any reason relating to this document, or credit any overpayment to
		Williams, Morgan & Amerson, P.C. Deposit Account No. 50-0786/
$\boxtimes$	6.	Enclosed is a copy of the current Power of Attorney document in the prior
		application.
$\boxtimes$	7.	Address all future communications to:
		Shelley P.M. Fussey, Ph.D. WILLIAMS, MORGAN & AMERSON, P.C. 10333 Richmond, Suite 1100 Houston, Texas 77042 (713) 934-7000

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$\boxtimes$	8.	The prior application is presently assigned to The Regents of the University of					
		Michigan (copy of prior Assignment attached).					
$\boxtimes$	9.	Enclosed is a Preliminary Amendment. The amendment does not result in					
		additional claim fees (see Clause No. 2 above).					
$\boxtimes$	10.	Cancel in this application claims 1-43 and 45-79 of the prior application before					
		calculating the filing fee (at least one original independent claim is retained).					
	] 11. Amend the specification by inserting before the first line the sentence						
		continuation of co-pending application Serial No, filed					
$\boxtimes$	12.	Enclosed are formal drawings of FIG. 1 through FIG. 5B on a total of 4 sheets.					
$\boxtimes$	13.	The present paper constitutes Applicants' compliance with their duty of disclosure					
		under 37 C.F.R. §1.56. Please make all prior art of record in parent application					
		Serial No. 09/389,435 of record in this case, including that cited by the Office and					
		Applicants. As a courtesy, the following is enclosed:					
$\boxtimes$		(a) Copies of PTO-1449s from prior application (References A1-A15 and					
		C1-C82).					
		(b) Copies of IDS citations.					
	14.	Other:					
$\boxtimes$	15.	Return Receipt Postcard.					
		Respectfully submitted Williams, Morgan & Amerson, P.C.					

Williams, Morgan & Amerson, P.C. Customer No. 23720

Shelley P.M. Fussey, Ph.D. Reg. No. 39,458 Agent for Applicants

10333 Richmond, Suite 1100 Houston, Texas, 77042 (713) 934-4079

Date: March 9, 2004

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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§	Group Art Unit: 1643
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§	Examiner: Unknown
§	
§	Atty. Dkt.: 4100.001000
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§	•
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#### CERTIFICATE OF MAILING 37 C.F.R. 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date below:

Mar. 07, 2000

Date

Shelley P. M. Fussey

### STATEMENT FROM INVENTORS

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

We, Nathan Ockwig and Dimitri Coucouvanis, declare that the following statements are true.

1. Nathan Ockwig was identified as a potential co-inventor of certain claims in the above-referenced application late in the drafting stage of the application. It is our understanding that there was not sufficient time prior to the filing of the application to conduct a thorough inventorship analysis, and thus, in an abundance of caution, Nathan Ockwig was included as a co-inventor on the application.

- 2. Subsequent to the filing of the application, a detailed analysis of the inventorship of the subject matter claimed in the above-referenced application was conducted by Applicants' representatives. The analysis determined that Nathan Ockwig was not a co-inventor of the subject matter disclosed and claimed in the present application.
- 3. The erroneous naming of Nathan Ockwig as a co-inventor on the present application occurred without any deceptive intention.
- 4. We hereby declare that all statements made herein of our knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

 $\frac{2/25/00}{\text{Date}}$   $\frac{2/25/00}{\text{Date}}$ 

Nathan Ockwig

Dimitri Coucouvanis

#### CERTIFICATE OF EXPRESS MAILING

NUMBER EV 336 530 992 US

DATE OF DEPOSIT March 9, 2004

I hereby certify that this paper or fee is being deposited with the United States Postal Service "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service Under 37 C.F.R. §1.10 on the date indicated above and is addressed to: U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202.

Shawn Smith

**PATENT** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

George J. Brewer, Sofia D. Merajver and Dimitri Coucouvanis

Serial No.: Unknown

Filed: March 9, 2004

For: Methods and Compositions for the

Treatment of Angiogenic Diseases (As

Amended)

Group Art Unit: 1623

Examiner: Maier, L.

Atty. Dkt. No.: 4100.001099

## **SUBMISSION OF FORMAL DRAWINGS**

ATTN: OFFICIAL DRAFTSMAN

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicants hereby submit the formal drawings for the above-referenced application (FIG. 1-FIG. 5B on 4 sheets) and request that these drawings be accepted for filing.

Respectfully submitted,

Williams, Morgan & Amerson, P.C. Customer No. 23720 10333 Richmond, Suite 1100 Houston, Texas 77042 (713) 934-7000

Date: March 9, 2004

Shelley P.M. Fussey, Ph.D.

Reg. No. 39,458

Agent for Applicants